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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Kaplan, et al.

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SERIAL NUMBER : 10/043,899

EXAMINER : Cheung, Mary Da
Zhi Wang

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ART UNIT : 3694

FOR : **ANONYMOUS AUCTIONING OF STRUCTURED FINANCIAL
PRODUCTS OVER A COMPUTER NETWORK**

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

RESPONSE TO RESTRICTION/ELECTION REQUIREMENT
DATED MARCH 7, 2007

In reply to the Restriction Requirement in the Office Action mailed March 7, 2007, Applicants submit the following Response and request for reconsideration. A petition to extend the due date for responding to the Restriction Requirement to September 7, 2007 and the fee required under 37 C.F.R. § 1.17(a)(5) for a small entity accompanies this response.

Remarks/Conclusion begin on page 2 of this paper.